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APPARATUS FOR REMOTE MONITORING OF A FIELD OF VIEWField of the Invention

5 The present invention relates to an apparatus for remote monitoring a field of view. The apparatus has particular application to remote monitoring of sulphur dioxide, volcanic ash and wind-blown dust.

10 Background to the Invention

There are a number of adverse atmospheric conditions that it would be desirable to detect. These include volcanic ash, toxic gases such as sulphur dioxide gas and  
15 wind-blown dust.

Volcanic ash is a hazard to jet aircraft, causing engines to stall when ingested, scouring windows and the leading edges of the wings and causing instrument malfunctions.  
20 Damage to aircraft can be counted in the millions of dollars. Most serious aircraft encounters with ash clouds have been at cruise altitudes, but there is also a hazard to aircraft at airports affected by volcanic ash. These airports are usually close to an active volcano  
25 (e.g. Anchorage and Kagoshima) but they can also be at some distance from the source of the eruption due to atmospheric transport that brings ash into the region.

The cost of ash hazards to airport operations is not  
30 known, but must be significant if the costs include those due to delays to landings and take-offs as well as re-routing costs incurred by airline operators. Currently there are no regulatory requirements for airport operators to provide warnings of ash hazards. Warnings are issued  
35 based on information from volcano observatories, meteorological advisories and, in some cases, radar observations of eruption columns. Radar information is

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generally only reliable at the start of an eruption when the ash cloud is thick and usually such information is only available at airports in close proximity to an erupting volcano. For airports distant from the source of ash there are few direct observations available. Some observations come from satellite systems and other sources of information come from trajectory forecasts based on wind data and cloud height information. Much of this information is sporadic and untimely and there is a need for better detection systems.

Other adverse atmospheric conditions include the toxic gases emitted by volcanoes and industrial plants. Of particular importance and abundance is sulphur dioxide gas. This gas is colourless, but has a characteristic pungent odour. Eye irritation and inflammation of the respiratory tract occurs in relatively low concentrations. Amounts of 6-12 ppm will cause immediate irritation of the nose and throat. Long term exposure can exacerbate asthma and can be dangerous to persons with preexisting cardiopulmonary diseases. Thus monitoring near to strong sources of SO<sub>2</sub> (e.g. from industrial sources and at volcanoes) is important as is longer term monitoring at some distance from the source. Furthermore, SO<sub>2</sub> clouds from volcanoes will react with water vapour in the atmosphere to produce sulphuric acid which can damage aircraft. Accordingly, it would be desirable to be able to warn aircraft of SO<sub>2</sub> clouds.

Wind-blown dust from desert regions or semiarid lands can be a hazard to aircraft, reduces visibility significantly and can cause eye and throat irritation to humans. Large parts of the habitable earth are prone to dust storms, including northern Africa, the Mediterranean islands, southern Italy, Spain and France, southwestern USA, central and southern Australia, western parts of South America, central China, Japan and south and north Korea

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and the central deserts of Asia. The wind-blown dust can also be transported long distances - dust from China has been detected in North America. The dust consists of nearly spherical particles of  $\text{SiO}_2$  in concentrations that  
5 can limit visibility to a few 10's of metres. Accordingly, wind-blown dust can be a significant hazard to aircraft, vehicles and the like.

Accordingly, it would be desirable to provide an apparatus  
10 capable of providing warning of one or more adverse atmospheric conditions.

#### Summary of Invention

15 The invention provides apparatus for remote monitoring of a field of view comprising:

a monitoring station; and  
remote sensing equipment at a site remote from  
said monitoring station, said remote sensing equipment  
20 comprising:

an infrared detection apparatus that monitors  
said field of view for at least two wavelengths of  
infrared radiation corresponding to an adverse atmospheric  
condition and produces temperature information based on  
25 the monitored infrared radiation;

a processor for processing said temperature  
information to determine whether an alarm condition for  
said adverse atmospheric condition is met; and  
communication means for sending data to said  
30 monitoring station if said alarm condition is met.

The invention provides a method of monitoring a field of view comprising:

monitoring, at a remote location, a field of view  
35 for at least two wavelengths of infrared radiation  
corresponding to an adverse atmospheric condition and  
producing temperature information;

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processing said temperature information to  
determine whether an alarm condition for said adverse  
condition is met; and

transmitting data to a monitoring station if said  
5 alarm condition is met.

#### Brief Description of the Drawings

A preferred embodiment of the invention will now be  
10 described with reference to the accompanying drawings in  
which:

Figure 1a is a block diagram of apparatus for  
remote monitoring of a field of view of a preferred  
embodiment;

15 Figure 1b is a schematic diagram showing  
apparatus for remote monitoring of a plurality of field of  
views;

Figure 1c is a schematic diagram which shows the  
camera portion of the apparatus of Figure 1a;

20 Figure 2 shows the filter functions of the  
apparatus;

Figure 3 shows the variation of elevation angle  
with temperature difference;

25 Figures 4a and 4b show variation of elevation  
angle with temperature difference for two different  
channel differences;

Figure 5 shows the apparatus of the invention  
viewing a sulphur dioxide plume;

30 Figure 6 shows an image produced of SO<sub>2</sub> plume  
using the apparatus of the present invention;

Figure 7 shows a view of a plume of SO<sub>2</sub> with an  
explosion;

Figure 8 shows an image of an explosion produced  
using an ash algorithm;

35 Figure 9 shows a different image for the sky when  
there is no ash or sulphur dioxide present;

Figure 10 is a temperature histogram for the

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image of Figure 9;

Figure 11 illustrates the Gaussian and thresholding technique for setting an ash alarm;

Figures 12a and 12b show a raw and a calibrated  
5 image respectively, illustrating the dramatic effect calibration has on the identification of features;

Figure 13 is a map of test sites;

Figure 14 shows the proximity of Tavurvur volcano to the airport;

10 Figure 15 has ash and visible images taken from Rababa;

Figure 16 shows the alarm histogram for Figure 15;

15 Figure 17 has ash and visible images from Matupit;

Figure 18 shows the alarm histogram for Figure 17;

Figure 19 is a view of an eruption from Rabaul Volcanological Observatory;

20 Figure 20 is a graph of an alarm time-series;

Figure 21 has ash and visible images from Hamamas Hotel; and

Figure 22 shows the alarm histogram for Figure 22.

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#### Description of the Preferred Embodiment

The preferred embodiment provides an apparatus for remote monitoring of infrared radiation from a field of view in  
30 order to detect an adverse atmospheric condition such as volcanic ash, sulphur dioxide or wind-blown dust.

Referring to Figure 1a, there is shown a block diagram of apparatus for remote monitoring of a field of view 100.

35 The apparatus has a remote sensing equipment 130 that has an infrared detection apparatus 110 and a processor 140 provided in the form of a computer running software to

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process data received from the infrared detection apparatus 110 relating to the temperature of the field of view. Hereafter, "temperature information".

5 Typically the remote sensing equipment will be located at a site remote from where monitoring of the atmospheric condition will take place. For example at a site where the field of view contains a volcano, whereas the monitoring system 150 will be at a location where it is  
10 necessary to take action in response to there being an adverse atmospheric condition. The communications means 160 is typically a satellite modem that transmits the data to the central monitoring system.

15 The processor 180 normally processes the temperature information to form a temperature difference image that can be used to observe the atmospheric condition and determine whether action needs to be taken in respect of the adverse conditions.

20 However, the images themselves are taken rapidly and contain a lot of information as a temperature difference is calculated for each pixel of the infrared detection apparatus 110. Accordingly, there are potential bandwidth  
25 problems in transmitting the images constantly whereas it is only necessary for the images to be reviewed when there is actually a potential atmospheric hazard. Accordingly, the processor 140 processes the temperature information to determine whether an alarm condition is met and sends data  
30 to the central monitoring system 150 only when the condition is met. The alarm condition can be set at a number of levels. For example, if it is certain that there is an adverse atmospheric condition present or if interpretation of the image might lead to a conclusion  
35 that there is an adverse atmospheric condition present. A further advantage is that the temperature difference images do not have to be manually reviewed until an alarm

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condition is met.

The data sent to the monitoring station can take a number of forms, however, typically the data sent for further  
5 evaluation is the temperature difference image which caused the alarm condition to be met. However, in other modes of operation the alarm can be a warning signal that allows the persons monitoring the central monitoring  
10 system 150 to take further action. That further action may include sending a request to the remote sensing equipment 130 to request a series of images.

Figure 1b illustrates a typical arrangement involving a plurality of remote sensing equipments 130a, 130b, 130c.  
15 Each set of remote sensing equipment 130 communicates with satellite 520 to transmit data and alarm conditions relating to the remote locations of which they are located. Satellite 520 downloads the information to an Internet service provider 530 that transmits the  
20 information via the Internet 532 to a second Internet service provider 534. The monitoring station 150 obtains the data from ISP 534 and can communicate via the reverse path with each remote sensing equipment 130.

25 The infrared detection apparatus 110 is provided in form of an infrared camera 1 as shown in Figure 1c.

The camera 1 itself has a filter wheel housing 2 that has a window 3 that is transmissive in the infrared, a shutter  
30 4, a filter wheel cover 6 and a filter wheel. Infrared array housing 8 contains an infrared array 9 and a signal processing unit 10.

Output from the signal processing unit 10 is on signal  
35 lines 11a which have an Ethernet interface to computer 140 which can process the signals. Lines 11b allow the shutter control signals to be received from the computer

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140 and also temperature measurements passed to the computer 140. The temperature measurement corresponds to the temperature of the shutter 4 and is obtained by a contact thermometer (not shown).

5

The infrared detection apparatus operates by processing infrared signals from the region of sky being monitored above at up to five pre-defined wavelengths. The wavelengths which are used depend on the adverse atmospheric condition which is being monitored. The central wavelengths and wavelength intervals are given in Table 1. It will be appreciated that a band of wavelengths surround a central wavelength, but for convenience "wavelength" means the central wavelength and surrounding band unless the context implies otherwise. The infrared radiation measured by the camera 1 is linearly proportional to the resistance change in the detector, which is recorded and logged by the signal processing unit. In the preferred embodiment the infrared array is an uncooled microBolometer staring array of 320 x 240 elements sensitive to radiation in the 6-14  $\mu\text{m}$  wavelength interval is used to detect filtered radiation. The detection apparatus uses a filter wheel to filter radiation. The radiation from the sky is focussed onto the array by means of focussing optics in the form of lens 5 and the field of view is a cone of up to 90 degrees.

As indicated above, in the preferred embodiment, the infrared array will be an uncooled microBolometer array of dimensions at least 320 x 240 elements, but 640 x 480 elements is also possible. There is a trade-off between the number of elements, cost and maximum spatial resolution per pixel for a fixed optical arrangement. The microBolometer operates on the principle that a temperature change produced by radiation falling on the detector produces a linear resistance change in the material. There are three types of bolometer in



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commercial use: metal, semi-conductor and super-conductor. In the preferred embodiment either VOx and Si-based semi-conductor bolometers can be used as these are available commercially. However, it would be possible to  
5 use a cooled array with a cryogenic cooler with the ground-based device if the performance criteria cannot be met with an uncooled array.

The camera 1 can be used to obtain temperature  
10 measurements at up to five separate wavelengths to be filtered and also can have a single broadband channel depending on what atmospheric condition is being monitored. The camera 1 uses a filter wheel mounted with circular interference filters. Table 1 provides the  
15 information for the selection of the filters.

The filters will be 50 mm diameter germanium/ZnSe multi-layer interference filters mounted on a rotating wheel and driven by a stepper-motor. (But, smaller or  
20 larger diameter filters may be used depending on the field of view required and the focusing power of the optics).

The array 9 has a nominal noise temperature of no greater than  $\approx 50$  mK in the broadband channel. To achieve  
25 sufficient temperature sensitivity in the narrow band channels, frame averaging is employed. Table 1 shows the theoretical noise equivalent temperature differences (NEAT's) expected for various frame averaging values in 5 narrow wavelength bands or channels and one broadband  
30 channel 20. Thus five narrow band channels centered around  $7.3 \mu\text{m}$ ,  $10.1 \mu\text{m}$ ,  $11 \mu\text{m}$  and  $12 \mu\text{m}$  are shown in Figure 2 as items 21, 22, 23, 24 and 25 respectively. It will be appreciated by those skilled in the art that the precise central wavelengths and bandpasses may vary, and that the  
35 wavelengths and bandpasses shown in Table 1 are nominal and used here as indicative working wavelengths.

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Band	High	Low	NE $\Delta$ T	4	8	16	32	64
7.3	7.05	7.55	1346	673	476	337	238	168
8.6	8.35	8.85	890	445	315	223	157	111
10.1	9.85	10.35	643	322	227	161	114	80
11	10.75	11.25	657	329	232	164	116	82
12	11.75	12.25	900	450	318	225	159	113
8-14	8	14	65	33	23	16	11	8

Table 1: Noise equivalent temperatures (NE $\Delta$ T, mK) for different amounts of frame averaging.

In order to produce an output indicative of the presence  
 5 of an adverse atmospheric condition, it is necessary to  
 calibrate or correct the raw data produced by the infrared  
 array.

The infrared camera 1 is calibrated so that the processing  
 10 means 140 can produce corrected radiance values which then  
 can be used to produce scene temperatures which can  
 subsequently be processed using an algorithm specific to  
 the atmospheric condition in order to determine the  
 presence of the adverse atmospheric conditions. The  
 15 calibration process consists of a pre-calibration and a  
 field calibration. The calibrations correct for radiation  
 from the infrared detection apparatus. The field  
 calibration corrects for changes in the radiation from the  
 infrared detection apparatus during operation.

20 Figure 12a shows a typical scene where the image is  
 constructed from the raw signals, without calibration.  
 Figure 12b shows the same scene after calibration and  
 conversion to temperature units. Aspects of the scene not  
 25 visible in the raw data are now clearly noticeable in the  
 calibrated data. For example, roof 200 is now visible.

The camera 1 provides raw digital counts as output of  
 detector array 9 that have also had some corrections  
 30 applied. These counts can be related to the scene

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radiance through a linear calibration process, and then to temperature through use of the Planck function. It will be appreciated that because temperature can be derived from these counts, they provide temperature information.

5

The system uses a two point blackbody pre-calibration procedure that uses the output from the detector array 9 corresponding to two cooled and heated blackbody cavities placed in front of the lens. The calibration equations are:

10

$$R_{i,c} = a_i C_{i,c} + b_i, \quad (1)$$

$$R_{i,h} = a_i C_{i,h} + b_i, \quad (2)$$

15 where, the subscripts *c* and *h* to the cold and hot blackbodies, and *i* refers to the channel or filter number being used, *R* = radiance, *C* = counts and *a* and *b* are coefficients corresponding to a gain and an offset respectively. In practice the camera views over a band of  
20 wavelengths and the response of the camera (detector and filter) as a function of wavelength must be known. The radiance is therefore related to the scene temperature, *T<sub>s</sub>*, through,

25

$$R_i = \int_{\lambda_1}^{\lambda_2} B[\lambda, T_s] F(\lambda) d\lambda, \quad (3)$$

where  $\lambda$  is wavelength, *F* is the response of the system, and *B* is the Planck function.

30 To convert from the calibrated radiances to scene temperature, Equation (3) is inverted. This is a non-linear problem which requires a minimisation procedure. A series of look-up tables were generated that give radiances equivalent to a series of pre-specified  
35 temperatures. Once the measured radiance is known by combining (1) and (2), the look-up table is searched and interpolated (if necessary) to determine the closest scene

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temperature. The procedure is accurate to 10 mK over the range of observable temperatures 220 K to 330 K.

A separate set of calibration coefficients  $a_i$ ,  $b_i$  is  
5 developed for each pixel within the 320 x 240 image.  
Towards the edges of the image the quality of the  
calibration degrades due to image distortions and non-  
uniformity of the blackbodies. The blackbody temperature  
is measured in one place on each blackbody and non-  
10 uniformity of the temperature field will occur to some  
degree.

A field calibration technique is used to alter the  
coefficients to account for the optics (particularly the  
15 lens) that may be heating up or cooling down and thus be  
at a different temperature to its value when calibrated in  
the laboratory. This causes an off-set in the measured  
signals. Our field calibration procedure makes use of a  
single shutter measurement just before measurements are  
20 taken at each wavelength. The shutter fills or slightly  
overfills the field of view of the instrument and provides  
a uniform radiation source to the detector. The  
temperature of the side of the shutter facing the lens is  
continuously monitored using a contact temperature probe.  
25 The shutter side facing the lens is blackened so that its  
infrared emissivity is high (exceeding 0.98) and uniform  
across the region 6-14  $\mu\text{m}$ . In the field, the calibration  
is performed by making a single measurement of the  
shutter, followed by a measurement of the scene and then  
30 application of the calibration equations and shutter  
measurement which accounts for the off-set generated by  
any change in temperature of the lens or other radiating  
surfaces in front of the detector.

35 The calibration means also calibrates for background  
atmospheric conditions and viewing angle. That is, the  
temperature differences on a single channel will vary

depending on the channel measured.

In clear and cloudy skies when there is no ash or SO<sub>2</sub> present, water vapour causes differential absorption of radiation in the atmospheric window between 6-14  $\mu\text{m}$ . Thus  
5 when comparing two channels there will be a temperature difference. Theoretical calculations and modelling studies indicate that this difference will be negative when the camera views the sky above the horizon. The  
10 exact value of the difference depends on the amount of water vapour, but also on the path length that the radiation traverses through the atmosphere. Figure 3 shows the theoretical variation of the temperature difference (11-12  $\mu\text{m}$ ) with elevation for a cloudless  
15 atmosphere containing about 3 cm of precipitable water. At low elevation angles the temperature difference is slightly negative, but gets progressively more negative until at around 60 degrees elevation when the difference decreases slowly. A consequence of this behaviour is that  
20 it is not possible to set a constant threshold for deciding whether infrared difference images contain ash affected pixels. Figure 4a shows the difference as determined from measurements made at Saipan. The variation with elevation angle mimics the theoretical  
25 behaviour. The same effect with elevation can be seen for 8.6-12  $\mu\text{m}$  temperature differences (Fig. 4b), except that after 60 degrees the difference starts to increase rather than decrease. This is not seen in the modelling results, and more data are required to determine the cause of this  
30 effect. These data show more variation than the theoretical studies because the scene also contains clouds and unmodelled water vapour variations. Nevertheless, the temperature difference decreases with elevation angle in all cases studied and agrees with the theoretical  
35 behaviour.

When the variations and temperature difference are

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understood, temperature difference can be corrected in order to correct the output images so that the output temperature difference images correctly reflect the presence of the adverse atmospheric condition being  
5 detected.

The algorithms and temperature differences which are used depend on the adverse atmospheric condition that is being monitored for in the field of view.

10

### SO<sub>2</sub> Algorithm

Figure 5 shows a digital visible image of the camera viewing towards Etna volcano in the background with a  
15 plume of SO<sub>2</sub> 30 emitted from the crater. An SO<sub>2</sub> image produced by the apparatus within 30 minutes of the digital image is shown in Figure 6. The colour scale 22 is drawn to indicate the amount of SO<sub>2</sub> in the plume - from yellow, indicating low amount, to brown, indicating high amounts.

20

The background to the images comprises light areas of blue and green indicating a colder background whereas the bottom of the image which is dark in colour represents the ground. These areas are labelled 33 and 34 respectively.  
25 The left vertical axis represents elevation in degrees and the horizontal axis represents Azimuth. The images are typically produced in colour as indicated above however it will be appreciated that appropriate grey scale images can also be produced. The colour images make it easier to  
30 discern the plumes from the background.

An SO<sub>2</sub> index is based on a 4-channel algorithm, while the image itself utilizes all 5 channels, the 4 narrow band channels and a wide band channel. The SO<sub>2</sub> index is derived  
35 by:

(1) forming the temperature difference between a

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- channel centred at 8.6  $\mu\text{m}$  and a channel centred at 10.0 $\mu\text{m}$ , label this difference as  $\delta T_1$ ,
- (2) forming the temperature difference between a channel at 11.0  $\mu\text{m}$  and a channel centred at 12.0  $\mu\text{m}$ , label this difference as  $\delta T_2$ ,
- (3) adding temperature differences  $\delta T_1$  and  $\delta T_2$ , label this  $\delta T_3$ ,
- (4) subtracting a reference value that depends on the viewing elevation of the camera, and has a typical range of 1-3°C to get  $\delta T_4$  (this is the  $\text{SO}_2$  index).

The displayed image is produced by scaling  $\delta T_4$  and overlaying this scaled image into the broadband image so that all pixels in the  $\delta T_4$  temperature difference image with a scaled value in the range 1-32 are preserved and all pixels outside this range are replaced by the broadband image pixels. A suitable colour table is then attached to the image and a reference grid and scale are incorporated.

An exemplary resulting image (Figure 6) shows  $\text{SO}_2$  plume in yellow to brown colour, water vapour (in various degrees of amount) in grey colours, the background (colder) sky as blue and green and topographic features (mountain, ground, trees etc., which are generally warmer than the plume) as dark grey to black.

#### Volcanic Ash Algorithm

A volcanic ash algorithm which can be used with the apparatus may be stated as:

$$\delta T_2 = T_{11} - T_{12} > \Delta T_t,$$

where the subscripts 11 and 12 refer to the channel central wavelengths and  $\Delta T_t$  is a temperature threshold that

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depends on the water vapour content of the atmosphere and on the viewing elevation angle of the camera. The nominal value for  $\Delta T_t$  is 0°C. Data (pixels) with values above the threshold are regarded as volcanic ash. Data (pixels) below the threshold are regarded as not volcanic ash.

In testing, the apparatus was also able to capture discrete explosions from the Stromboli Volcano. An example of this is shown in Figure 7. In the case of the explosion, the pyroclastic material is mostly volcanic hot rocks, cinders and ash and reveals itself as grey to black colours 41 when the SO<sub>2</sub> algorithm is used. An SO<sub>2</sub> plume is clearly shown. In contrast when the ash algorithm is used, that is, by taking temperature differences using two channels, specifically  $\delta T_2$ , the image shown in Figure 7 is obtained. The colour scale now shows positive temperature differences in shades of orange and red, and negative differences as blue to yellow. In this case the algorithm identifies the hot rocks and cinders as positive differences 40 (high ash content), and resuspended ash as slightly negative (similar to the material on the surface of the mountain slopes). The sky has markedly negative differences.

#### 25 Wind-Blown Dust Algorithm

Desert dust has a high silica (SiO<sub>2</sub>) content and when small particles (diameters less than 10 µm) are suspended in the atmosphere they disperse infrared radiation in a similar fashion to volcanic ash particles. Consequently, the algorithm used to identify ash in the atmosphere can also be used to identify wind-blown dust. Dust storms are a frequent and global phenomenon. Most of the dust is confined to the boundary layer - the part of the atmosphere closest to the surface and generally not extending more than 5 km upwards. Occasionally, large dust storms can be transported vast horizontal distances



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(may 1000's of kilometres) and be lifted to heights greater than 5 km. Dust storms have been identified using passive infrared radiation from satellites. The dust algorithm differs from the ash algorithm in one important  
5 aspect. Since it is unlikely that wind-blown dust will contain any appreciable amounts of SO<sub>2</sub> gas (the reverse being true for ash), a channel at 8.6 μm, can be used in conjunction with the 11 and 12 μm channels. The dust algorithm thus uses three channels rather than two. The  
10 form of the algorithm is:

$$\delta T_{dust} = aT_{8.6} + bT_{11} + cT_{12},$$

where the subscripts 8.6, 11 and 12 refer to the central  
15 wavelengths (in μm) for each channel and *a*, *b* and *c* are constants. The nominal values of these constants are:  
*a* = 1, *b* = 1 and *c* = -1.

It will be appreciated that it will not always be  
20 convenient or appropriate to send data from the remote sensing equipment to a monitoring station. Accordingly, an automated algorithm can be developed in order to initiate an alarm. This alarm is based on analysis of the difference images produced in accordance with the  
25 algorithms. However, it will be appreciated that the images do not actually have to be produced in order for the alarm to be triggered, that is, the data can be processed instead. An example of an alarm algorithm suitable for volcanic ash is described, however it is to  
30 be appreciated that other alarm algorithms can be developed.

To minimise operator intervention of the infrared image data and trigger the transmission of an image to the  
35 monitoring station 150, an automated algorithm has been developed - i.e. to transmit the image data to the monitoring station 150 when there is sufficient reason for

a user to inspect the image. The algorithm or 'alarm' is based on a histogramming technique that takes into account the viewing elevation and the amount of water vapour in the atmosphere. In the current embodiment there are 320 x 240 image pixels in a single difference image ( $\Delta T_1$ ,  $\Delta T_2$ ,  $\Delta T_3$ ). Due to cloud movement, noise, calibration errors and sensitivity limitations, some pixels will appear anomalous when there is little or no hazard within the image. The histogramming technique accounts for these anomalies.

10

In general the structure of these anomalies is very different to that expected from an ash cloud. However, on a pixel-by-pixel basis it is impossible to determine whether the signal is due to a camera anomaly or due to a real ash signature. Analysis of the images obtained from Anatahan volcano in conditions where ash was known to be present suggests that analyses of structure in the images can be used to set a threshold or alarm to indicate the presence of ash. To demonstrate how this can be done we first consider a set of images obtained in conditions where there was no ash or SO<sub>2</sub>. Figure 9 shows an image obtained in ash/SO<sub>2</sub>-free conditions viewing with an elevation of 20 degrees above the horizon. A colour scale would be present in practice on this image indicating a temperature range from -15 K to +10 K, with red-coloured pixels having the most positive temperature difference. To highlight the region where most ambiguity might exist, a grey-scale showing temperatures from -0.5 K to +0.5 K is included within the main colour scale. Thus grey-coloured pixels in the temperature difference image may be regarded as *marginal*, in terms of detectability. In this image there are some grey-coloured pixels, but the majority of the pixels are yellow, green to blue indicating negative temperature differences and hence normal conditions (i.e. clear skies or water/ice meteorological clouds). The 2-dimensional histogram of this image is shown in Figure 10. In practice the same temperature range and

35

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colour scale are used for the histogram. From theoretical and modelling calculations we expect pixels that are ash contaminated to have positive differences. But, their actual value depends on viewing conditions, particularly the viewing elevation, and also the amount of water vapour in the path. Accordingly, we have determined that a threshold value of 0 K for ash is appropriate under most conditions. Figure 10 was obtained at 20 degrees elevation and as the field of view of the infrared camera is roughly 24 degrees in the vertical direction, some parts of the image view land surfaces. The histogram has prominent peaks at roughly -1 K 51 and -5 K 50 which correspond to clouds and clear skies, respectively. In this case the least negative peak has a tail that includes some positive pixels. In the corresponding image these pixels are due to viewing features that are low on the horizon and include ground targets. Such 'anomalies' are difficult to isolate in an automated manner and could give rise to false alarms if a straightforward pixel thresholding technique were employed.

The scheme chosen to automatically determine whether an image has detected ash is a statistically based method. This is the method of choice because by the nature of the problem there is often going to be a distribution of pixels that can be flagged as ash, within an image that has many pixels that are definitely ash or definitely not ash. In addition, because of the likelihood that pixels will contain mixtures, a simple threshold and binary decision process would be inappropriate.

The histogram shown in Figure 10 consists of two prominent peaks with a spread of pixels around these peaks. If the detection apparatus viewed a target of constant temperature (e.g. a uniform cloud or the clear sky), then simply because of the fact that the camera has a wide field of view and there is water vapour absorption along

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the differing paths to the target, the resulting difference image would be non-uniform. In practice it is unlikely that the sky would present a uniform target and even less likely that a cloud would be perfectly uniform.

5 The combination of these effects leads to a natural spread in the histogram of the temperature differences, with a central peak corresponding to the mode temperature difference. For a relatively uniform scene the peak would be high and the spread (or standard deviation of the

10 distribution) would be low. We have selected a Gaussian distribution to model the distribution. The Gaussian distribution in mathematical terms is,

$$15 \quad G(\Delta T) = A_0 \exp - \left\{ \frac{(\Delta T - \mu_{\Delta T})^2}{\sigma_{\Delta T}^2} \right\},$$

where  $\Delta T$  is the temperature difference,  $\mu_{\Delta T}$  is the mean temperature difference,  $\sigma_{\Delta T}$  is the standard deviation, and  $A_0$  is the maximum frequency, which occurs when  $\Delta T = \mu_{\Delta T}$ .

Each of the peaks ( $i = 1 \dots n$ ) within the frequency

20 distribution (histogram plot) is assumed to be centred at  $\mu_{\Delta T,i}$  with a spread of  $\sigma_{\Delta T,i}$ . A set of Gaussian distributions is fitted to the frequency distribution data and the parameters,  $A_{0,i}$ ,  $\mu_{\Delta T,i}$ , and  $\sigma_{\Delta T,i}$  derived. The linear combination of these distributions is the model-fit to the

25 data.

The fit for the histogram data shown in Figure 10 is shown in Figure 11. Three Gaussians were used in the fit:

Parameter	i=1	i=2	i=3
$A_{0,i}$	74.2%	24.9%	0.9%
$\mu_{\Delta T,i}$	-4.24 K	-0.84 K	-0.67 K
$\sigma_{\Delta T,i}$	+1.49 K	+0.33 K	+0.08 K

30 The fit to the distribution although not perfect, is sufficient for setting the alarm for the image. A *threshold Gaussian* is set with a mean and standard deviation derived from modelling, and comparing this with

the  $n$ -Gaussian data-fit. The region between the pixels bounded by the *threshold Gaussian* mean value, and the overlap region between the two Gaussians (the *threshold* and the data-fit) is calculated. This area (or number of  
 5 pixels) is subtracted from the number of pixels that exceed the *threshold Gaussian* mean value and lie within the data-fit Gaussian (see Fig. 11).

The ratios,

$$10 \quad \left( \frac{P_i - P_{o,i}}{P_i} \right)$$

$$R_I = \frac{A_{o,i}}{\sum_{j=1}^n A_{o,j}}$$

15

where  $P_{o,i}$  is the number of overlap pixels for Gaussian  $i$ ,  $P_i$  is the number of pixels that exceed the *threshold* mean, and  $A_{o,i}$  are the maxima for the Gaussian fits. The purpose of normalising by the maximum is to ensure that more  
 20 weight is given to distributions that have well-defined and dominant peaks.

It will be appreciated that any number of different statistical techniques can be used in order to determine  
 25 whether sufficient numbers of the pixels relate to the atmospheric condition being monitored to warrant the generation of alarm.

### Experimental Results

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During 26-30 November 2003 CSIRO experimentally operated the detection apparatus at the site of an erupting volcano near Rabaul town, in west New Britain, PNG. The volcano, Tavurvur has ash-rich explosions every 10-30 minutes, with  
 35 plumes that extend several hundred meters above the crater, approximately 400 m above sea level. A large number of infrared images were obtained at various

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distances from the crater and employing a variety of viewing angles.

The results indicate that the remote monitoring apparatus  
5 can image ash plumes and clouds and clearly discern these  
from meteorological clouds and trigger appropriate alarms.  
Results are best at closest proximity to the ash cloud,  
but good results were obtained at distances greater than 5  
km from the active crater. The ash alarm algorithm was  
10 also tested in an autonomous manner overnight from a  
distance of ~8 km.

#### Measurement Sites

15 Figure 13 shows the locations of the measurement sites  
(six in all) used to image the ash-rich eruptions from  
Tavurvur. They are listed in Table 2.

Site label	Site name	Distance from crater (km)
A	Hamamas Hotel	3.7
B	Rabaul airport (old)	3.0
C	Rababa ("hot springs")	1.8
D	Matupit village	2.5
E	RVO	8.0
F	CPL Mill	7.4

20

Table 2. Site labels, names and locations used to make measurements of the ash-rich plumes and clouds from Tavurvur volcano (labeled Tavurvur in Fig. 13).

25 Tavurvur has been active since a major eruption took place in September 1994 which devastated the town of Rabaul and

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destroyed the airport. A new airport, Tokua, has been constructed and is located about 20 km southwest of Tavurvur. With the crater still active, flights in and out of Tokua only take place in daylight hours and not at all if the winds move the ash towards the airport runway. Figure 14 shows a digital photograph taken from the runway at Tokua. A plume 60 from Tavurvur is noticeable in the background.

10 The Rabaul Volcanological Observatory (RVO) operates on a hill overlooking the active crater and at about 8 km distance from it. Economic pressures in PNG have meant that only limited resources are available at RVO for operating geophysical equipment and power failures are also common. The main means of transport throughout PNG is by jet and light aircraft and the economy is highly dependent on air transportation. Thus there is an urgent need to monitor the volcanoes in New Britain (there are many) and throughout PNG.

20 The apparatus of the preferred embodiment operates off batteries for up to 16 hours and can be deployed in relatively hostile environments, rapidly by a single user. To test the ability of the instrument to distinguish ash from other meteorological clouds, the apparatus was deployed at a variety of locations and in a variety of viewing configurations. The measurements were made in an atmosphere with quite high water vapour amounts and at elevation angles varying from 10° to nearly vertical viewing. On many occasions the atmosphere around the instrument was filled with ash particles, making the atmosphere appear grey and causing irritation to the eyes and lungs.

## Results

### *(a) Rababa*

5 The best results were obtained from Rababa, approximately 1800 m from the crater. Note that there are also water clouds 70 in the image and the high frequency of activity has caused the atmosphere to be heavily laden with ash particles.

10

Figure 15 shows typical results obtained from Rababa (c). The ash image (left-panel) correctly identifies the plume 71 and clouds of ash from Tavurvur. Grey to black coloured regions of the image are identified as having no ash. The mountainside 72 is also identified as ash-this is not surprising since the mountain is covered in ash particles.

15

The automatic alarm algorithm was used on all images and the alarm generated for the image shown in Fig. 15 is shown in Fig. 16. The alarm is being generated because of the difference between the actual histogram 210 and threshold histogram 211. About 43% of the pixels are identified as ash in the image and a clear and unambiguous ash alarm has been triggered.

20

25

### *(b) Matupit village*

Good results were also obtained from Matupit village (D) about 2.5 km from the vent. The measurements from Matupit were made in relatively "wet" conditions and drizzle as well as ash fall were observed here. Results were very similar to those obtained from Rababa. To illustrate the ability of the apparatus to detect ash at high elevation angles, Fig. 17 shows an ash image and corresponding photograph of a dispersing ash cloud 75 viewed from 40° elevation.

30

35



In this case 73% of the pixels were identified as ash (see Fig. 18).

5 (c) RVO

Ash images from RVO (E) were the most difficult to obtain because of the distance from the active vent (~8 km) and because the Observatory is perched on a hill; thus the  
10 camera could only view at relatively small elevation angles (~10° or less). The combination of the greater distance and small elevation angles means that a considerable water vapour path is traversed (the water vapour absorption masks the positive temperature  
15 differences expected from the ash signal). The frequency of eruption was so high that the air between the camera and the eruption column was often filled with fine ash particles. This has the effect of making the atmosphere appear grey and also causes large absorption in the  
20 infrared. The camera was operated continuously overnight at RVO. An example of the scene viewed by the apparatus from RVO is shown in Figure 19. Figure 20 shows a time-series of alarms detected by the apparatus from RVO. The series of triangles and circles 81 represent alternate 5-  
25 min sampled data and their similarity gives confidence in the results.

The threshold for the alarm was arbitrarily set to a value of 10%. The colour threshold can be amended to suit the  
30 viewing conditions. The plot suggests that there were continuous ash emissions during the night - in agreement with what was observed during the day. While the highest alarm percentages never exceed 35%, this is a function of the viewing attitude of the instrument. If the instrument  
35 were sited closer to the volcano, then more of the ash would fill the field of view of the instrument and there would be a higher percentage for the alarm.

Because the atmosphere around Rabaul was constantly affected by ash it was difficult to obtain images which showed no ash. Some data were acquired looking away from  
5 the vent at meteorological clouds during the daytime which suggests the instrument was working as expected. Figure 21 shows one of the images. In this image the meteorological cloud is mostly light yellow or blue-green, suggesting no ash. The pixels coloured yellow in the  
10 bottom right of the image correspond to very low elevation angles and ground targets that often give temperature differences slightly greater than zero. The corresponding alarm histogram was not triggered by these data (see Fig. 22).

15 It will be apparent to a person skilled in the art that these and many other variations fall within the scope of the present invention.